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# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

|   |                             |                               |              |
|---|-----------------------------|-------------------------------|--------------|
| <b>Application Number</b>                       | 10/644,875                  |                               |              |
|   | <b>Filing Date</b>          | August 21, 2003               |              |
|   | <b>First Named Inventor</b> | Ying-Fei Wei                  |              |
|   | <b>Group/Art Unit</b>       | 1641                          |              |
|   | <b>Examiner Name</b>        | Marianne P. Allen             |              |
| <b>Total Number of Pages in This Submission</b> |                             | <b>Attorney Docket Number</b> | 39766-0151D2 |

## ENCLOSURES (check all that apply)

|  |   |  |
|--|---|--|
| <input type="checkbox"/> Fee Transmittal Form<br><input type="checkbox"/> Fee Attached<br><input type="checkbox"/> Amendment / Response<br><input type="checkbox"/> Exhibits<br><input type="checkbox"/> Affidavits/declaration(s)<br><input type="checkbox"/> Extension of Time Request<br><input checked="" type="checkbox"/> <b>Information Disclosure Statement with PTO-1449</b><br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><input type="checkbox"/> Response to Missing Parts/ Incomplete Application<br><input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Assignment Papers (for an Application)<br><input type="checkbox"/> Drawing(s)<br><input type="checkbox"/> Licensing-related Papers<br><input type="checkbox"/> Petition to Convert to a Provisional Application<br><input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address<br><input type="checkbox"/> Terminal Disclaimer<br><input type="checkbox"/> Small Entity Status Claimed<br><input type="checkbox"/> Statement under 3.73(b) | <input type="checkbox"/> After Allowance Communication to Group<br><input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences<br><input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)<br><input type="checkbox"/> Status Letter<br><input checked="" type="checkbox"/> <b>Additional Enclosure(s) (please identify below):</b><br>1 Reference and Return Receipt Postcard |
| <b>Remarks</b><br><br><b>AUTHORIZATION TO CHARGE DEPOSIT ACCOUNT 08-1641 (ATTORNEY DOCKET NO.: 39766-0151D2) FOR ANY FEES DUE IN CONNECTION WITH THIS PAPER.</b>   |   |  |

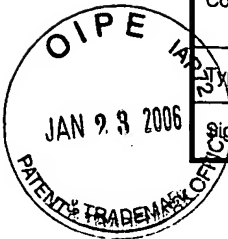
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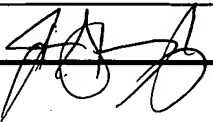
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|--------------------------------|---|-------------------------|-------|
| <b>Firm or Individual name</b> | Leslie A. Mooi, Reg. No.: 37,047, HELLER EHRMAN LLP |                         |       |
| <b>Signature</b>               | <i>Leslie Mooi</i>                                  |                         |       |
| <b>Date</b>                    | <i>January 23, 2006</i>                             | <b>Customer Number:</b> | 25213 |

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PATENT

Attorney Docket No.: 39766-0151D2

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**PATENT APPLICATION**

Inventor(s): Ying-Fei Wei, et al.

Application No.: 10/644,875

Filed: August 21, 2003

Title: Transforming Growth Factor Alpha HII

Art Unit: 1641

Examiner: Marianne P. Allen

**INFORMATION DISCLOSURE STATEMENT**

**UNDER 37 C.F.R. §1.97**

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Listed below or on an attached Form PTO-1449 is information known to applicant(s). A copy is provided of each foreign patent, each cited pending unpublished U.S. application, and all additional publications other than U.S. patents and U.S. patent application publications. 37 C.F.R. §§1.97-1.98.

Applicants respectfully request that the listed information be considered by the Examiner and be made of record in the above-identified application. If form PTO-1449 is enclosed, the Examiner is requested to initial and return it in accordance with MPEP §609.

This statement is not intended to represent that a search has been made or that the information cited in the statement is, or is considered to be, material to patentability as defined in §1.56.

- ☒ This statement qualifies under 37 C.F.R. §1.97, subsection (b) because (check all that apply):
- ☐ (1) It is being filed within 3 months of the application filing date and is other than a continued prosecution application under § 1.53(d)  
-- OR --
- ☐ (2) It is being filed within 3 months of entry of a national stage  
-- OR --
- ☒ (3) It is being filed before the mail date of the first Office Action on the merits  
-- OR --
- ☐ (4) It is being filed before the mailing of a first Office Action after the filing of a request for continued examination under § 1.114.
- ☐ 37 C.F.R. §1.97(c). If this statement is being filed after the latest of: (1) three months beyond the filing date of a national application; (2) three months beyond the date of entry of the national stage as set forth in §1.491 in an international application; or (3) the mailing date of a first Office action on the merits, but before the mailing date of the earlier of a final office action under §1.113 or a notice of allowance under §1.311, then:
- ☐ a certification as specified in §1.97(e) is provided below; or
- ☐ a fee of \$180.00 as set forth in §1.17(p) is authorized below, enclosed, or included with the payment of other papers filed together with this statement.
- ☐ 37 C.F.R. §1.97(d). If this statement is being filed after the mailing date of the earlier of a final office action under §1.113 or a notice of allowance under §1.311, but before payment of the issue fee, then:
- A. a certification as specified in §1.97(e) is completed below; and
- B. a petition under 37 C.F.R. §1.97(d) requesting consideration of this statement is submitted herewith; **and**
- C. a fee of \$130.00 as set forth in §1.17(i)(1) is authorized below, enclosed, or included with the payment of other papers filed together with this statement.
- ☒ **Fee Authorization.** The Commissioner is hereby authorized to charge any fees or credit any overpayment associated with this communication to Deposit Account No. 08-1641 (Docket No.: 39766-0151D2).

Respectfully submitted,  
HELLER EHRMAN LLP

Dated: Jan. 23, 2006

By: Leslie A. Mooi  
Leslie A. Mooi  
Reg. No.: 37,047

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